

EXEMPT LABORATORY SELF-ASSESSMENT CHECKLIST

*LABS THAT COLLECT SPECIMENS, ASSISTANTS PERFORMING WAIVED TESTS,
DIRECTOR PERFORMING ANY TESTS*

- Qualified Director:** Physician, MD, DO, Chiropractor, Podiatrist
(NAC 652.155)
- Director performs tests on own patients.
 - APN, PA performs waived or PPM tests on own patients
 - Assistants collecting specimens and/or performing CLIA waived tests – (i.e. dipstick urinalysis, fingerstick glucose)
 - CLIA applications must be submitted along with an exempt application. (www.cms.gov/clia)
- Director Assures:** NAC 652.155 (2)(a)(b)
- ___ 1. Written training & competency assessment of testing personnel.
 - ___ 2. Follow the manufacturer's instructions for performing tests including monitor/record storage temperatures for reagents and supplies consistent with manufacturer's instructions.
 - ___ 3. Verify accuracy by performing & documenting 2 levels (external or internal) of controls each day of testing.
 - ___ 4. Assistants are certified as office laboratory assistants. (NAC 652.470) (i.e. MA, LPN, RN, CNA)
 - ___ 5. There is a written safety policy, enforced:
 - No eating, drinking, or smoking in the laboratory.
 - Dispose of sharps in puncture proof containers.
 - Do not bend, break, or recap needles.
 - ___ 6. There is an eyewash in the testing area.

Documentation of the competency assessments and validation for each test trial control runs must be available for the surveyor to review.

No patient testing is to be performed prior to the survey.

*THIS IS A TOOL TO DETERMINE COMPLIANCE, NOT INTENDED TO REPLACE
REGULATIONS OR STATUTES IN CHAPTER 652
MEDICAL LABORATORIES.*